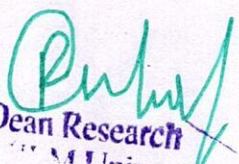
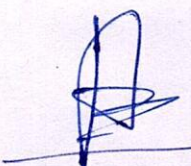
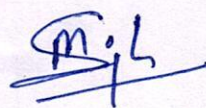


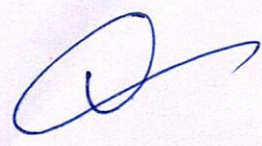
NIILM University, Kaithal
(HARYANA)

Research Ordinance
(Session 2016-17)
For
Registration and Award of
Doctorate in Philosophy (Ph.D.)
In Various Departments of University
UGC Minimum Standards and Procedure for
Awards of Ph.D. Degree, Regulations 2016


Dean Research
NIILM University, Kaithal


(Member of RAC)


(Member of RAC)


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(HARYANA)

PREAMBLE

In pursuit of academic excellence and innovation, the Department of Research & Development at NIILM University stands as a dynamic catalyst for fostering ground-breaking research, scholarly exploration, and technological advancements. Committed to pushing the boundaries of knowledge, our department serves as a nurturing environment that encourages interdisciplinary collaboration, creativity, and forward-thinking solutions. With a vision to contribute significantly to societal progress, we strive to empower researchers, faculty, and students to engage in cutting-edge projects that address contemporary challenges and elevate the academic and technological landscape. As a cornerstone of intellectual curiosity and innovation, our Research and Development department is dedicated to shaping the future through pioneering research endeavors.



Dean Research
NIILM University, Kaithal

A. Ph.D. Courses

The degree of Ph.D. may be awarded in the various departments/courses of the below mentioned schools:

Sr. No.	Schools
1.	School of Engineering Technology
2.	School of Commerce & Management
3.	School of Computer Science
4.	School of Applied Science
5.	School of Fashion Designing & Fine arts (only in Fine arts)
6.	School of Agriculture
7.	School of Social Science & Humanities
8.	School of Law
9.	School of Journalism & Mass communication
10.	School of Hotel management
11.	School of Physical Education and Yoga
12.	School of Library & Information Science
13.	School of Biotechnology

1. Short Title, Application and Commencement

- These Regulations shall be called Minimum Standards and Procedure for Award of Ph.D. Degrees Regulations, 2016, of NIILM University and framed as per **UGC Minimum Standards and Procedure for Awards of Ph.D. Degree, Regulations 2016.**
- These Regulations shall come into force from the date of notification by the NIILM University, Kaithal, and will applicable from academic session 2016-17.
- The student registered in Ph.D. Course shall be called as Research Scholar.

B. RESEARCH POLICY

1. AIMS AND OBJECTIVES OF THE POLICY

This Research Policy outlines the general framework for the development, promotion, and conduct of research of high quality following the principle of research ethics, and consistent with the mission of NIILM University. The primary objectives of this policy are as follows:

Create a conductivity environment for a better research ecosystem with action plans to support research activities in the University from time to time.

- Encourage research as an essential aspect of teaching-learning to inculcate research aptitude among the students.
- To draw attention towards the priority of local/regional/national interest
- To establish and strengthen the Central Instrumentation Facility (CIF) with highend equipment and central computation facilities.
- To establish different Centers of excellence (CoEs) to promote interdisciplinary research and collaborations with national and international institutions

- To promote the publication of research findings in quality peer-reviewed, journals, books, and book chapters/monographs.
- Patent and/or commercialize innovative products and processes
- Highlight research achievements and activities to improve the University rankings at the National and International level.
- Strengthen the Research and development cell for strategic plans, management, and monitoring of research programmes and performance.
- Encourage the department/schools and faculty members to generate of funds through sponsored projects
- Provide an ecosystem for innovation and entrepreneurship.
- Provide support for consultancy, collaboration, and outreach (Extension) activities to enhance the research culture.
- Integrate support for both fundamental and translational research for the generation of new knowledge and product.
- Promoting good practices in data acquisition, storage, and management and upholding the highest standard of ethical conduct in research.
- Appreciation / incentives to the researcher University for quality publications of articles in journals and books; filing/publication of patents; research funding and for other research related achievements.
- International levels. The Cell acts as a liaison between the university and funding agency to undertake sponsored projects.

C. PURPOSE

The purpose of the Research Policy is to create a vibrant atmosphere of research among faculty and inculcate research aptitude among the students. The policy shall serve as an overall framework within which research activities may be carried out.

It also aims to identify thrust areas of research having social relevance and thereby supplementing the Vision and mission of the University. The Research policy also emphasizes contribution towards society and to the nation at large.

This policy document is expected to address the issues of both basic and applied research, Innovation and technology development and also provide a vision on the type and priority of research to be undertaken for the next ten years.

The overall purpose of this policy is to:

Build/strengthen the core areas of research with good infrastructure for quality research output in arts, humanities, sciences, commerce/management, and other emerging academic disciplines.

Create more awareness among all the stakeholders on the importance of socially relevant and need-based research, and their integration with teaching and extension activities.

Promote innovation and entrepreneurship and leadership culture to enhance value addition to research and visibility.

Administer the policy following the highest level of integrity, ethical standards, and fairness with provision for performance-driven appreciation/recognition.

SCOPE

This Research Policy forms the basics of the research ethics of the University and applies to all stakeholders (Employees, students, and research scholars/associates of the University; chair professor, visiting researchers, adjunct faculty, post-doctoral fellows, and honorary appointee who may be involved in any form of research and/or

innovation activity.

D. RESEARCH AND DEVELOPMENT CELL (R &D)

Research and Development Cell of the university is responsible for the promotion and development of research activities at NIILM University, and is headed by Director, R & D. The Cell provides administrative and managerial support for the operation of research programs, sponsored research, consultancy, and related activities of the university. The Cell facilitates interaction with external agencies, both at national and 2 international levels. The Cell acts as a liaison between the university and funding agency to undertake sponsored projects.

E. COMMITTEE TO MONITOR & PROMOTE RESEARCH ACTIVITIES

The University has constituted different committees to regulate and monitor the research activities under different categories.

1. Research Advisory Committee:

It is the highest advisory body constituted with the inclusion of internal and external members from institutions and industries. The committee advises on prioritizing the area of research, planning, and execution of different research programmes, and development need-based infrastructures on the campus.

2. Departmental Research Committee:

This committee is constituted including the faculty member of the respective/relevant department, a nominee of the Vice Chancellor, and external members. The primary function is to regulate and monitor the doctoral programme of the concerned subject.

3. Research Advisory Committee (RAC)

Sub: Constitution of Research Advisory Committee for Ph.D. Scholars – Nomination of External Expert – Submission of panel -

This is to inform that as per Regulations 2016, a Research Advisory Committee has to be constituted for each Research Scholar. The Research Advisory Committee shall have four members and structure shall be as follows:

Research Supervisor	–	Convener
The Head of the Department concerned (For non-research centers, HoD in University)	–	Member
One faculty member of the same Department (Name shall be given by the Research Supervisor)	–	Member
External Expert in the same subject (The University will nominate from the Panel given by the Research Supervisor)	–	Member

The panel of External Subject Expert shall be submitted as follows:

- i. A panel of six experts shall be submitted after getting concurrence from them.
- ii. The expert should be a Ph.D. degree holder and also a full time faculty member of any educational institution / expert in nearby R & D, Departments / nearby National Laboratories or nearby Universities, at least one expert should be outside the state.

iii The University will nominate two expert from the panel submitted.

The Research Advisory Committee shall have the following responsibilities:

This is a three-member committee to be constituted with a research supervisor/co-supervisor, Chairman, DRC and a nominee of the Vice Chancellor for each Ph.D. scholar and

F. Eligibility

A candidates seeking admission to the course of Ph.D. must fulfill the following relevant academic criteria:

a. Master's degree with at least 55% marks in aggregate in the subject concerned or in an allied subject **OR** its equivalent grade 'B' in the UGC 7- point scale (or an equivalent grade in a point scale wherever grading system is followed).

OR

b. **For Faculty of Management Sciences** – Master's Degree or any other degree recognized equivalent there to in

(i) Business Administration or Economics or Commerce or in allied subjects with at least 55% marks

OR

(ii) Post Graduate Diploma in Management recognized equivalent to MBA by AICTE with 55% marks or equivalent grade therein.

c. Candidates with Qualifications as laid down in (ii) shall also be eligible for doing Ph.D. in Department of Economics, and Commerce.

OR

d. For Faculty of Engineering and Technology- M.E/ M. Tech. degree or any other equivalent examinations including P.G degree in Applied Sciences in a relevant subject (PG degree in Applied Sciences means Master's Degree in the subjects of Mathematics/Mathematical Statistics/Statistics/Computer Science/Physics/ Chemistry/ Life Sciences and other Sciences) from any University recognized by the UGC or an equivalent examination recognized by this University with at least 55% marks in aggregate.

OR

e. M. Phil. degree or a recognized equivalent degree beyond Master's degree level with at least 55% marks or equivalent grade in the grading system and Master's degree with 50% marks in aggregate in the subject concerned Oran allied subject.

f. Candidates who have cleared the M.Phil. Course work with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC7- point scale (or an equivalent grade in a point scale wherever grading system is followed) and successfully completing the M.Phil. Degree shall be eligible to proceed to do research work leading to the Ph.D. Degree in the same Institution in an integrated programme.

g. A relaxation of 5% of marks, from 55% to 50% or an equivalent relaxation of grade may be allowed for those belonging to SC/ST/BC (A&B) (non-creamy layer)/differently- able and other categories of candidates as per the decision of the Commission from time to time.

h. A person whose M.Phil. Dissertation has been evaluated and the viva voce is pending may be admitted to the Ph.D. programme.

- i. It will be the responsibility of the candidate to ensure his/her eligibility and fulfillment of such other condition(s) as may be prescribed for admission in the rules and regulations of the University. The admission to Ph.D. course will be in order of merit and subject to availability of seat(s) and expert(s) for guidance/supervision in the area of research. Before submission of Application Form, the candidate is required to ascertain these facts from the concerned Department/Institute. Merely qualifying the entrance test will not ipso-facto entitle a candidate to get himself/herself enrolled for Ph.D. course in the concerned Department/Institute

G. Admission Procedure

- j. The university admitted the candidates in the Ph.D. course on the basis of Entrance Test and Interview/Viva-Voce and document verification.
- k. Application for admission of Ph.D. course shall be invited through advertisement.
- l. The application for Ph.D. course may be downloaded from the university website (www.niilmuniversity.in) or may be obtained from the Research & Development Cell (R&D Cell) of the university.
- m. Duly filled application form should be submitted to the university along with the entrance fee.
- n. The syllabus for the Entrance test is same as UGC Net syllabus which is available on UGC web site.
- o. Entrance Test will be of 200 marks and the candidate must secure 50% marks in aggregate (45% for SC/ST/BC (A&B) (non-creamy layer)/(differently-abled) in two papers to qualify the test. If two candidates obtained equal marks in entrance test then preference will be given according to their age (higher age higher priority).
- p. The Application Forms along with required Entrance Test Fee shall be submitted online/offline by the last date as notified by the University. After last date, if permitted the Application Form can be submitted along with a late fee as prescribed and notified by the University.
- q. All those candidates having Master degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions are also eligible.
- r. Candidates possessing a Degree considered equivalent to M.Phil. Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that Country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph.D. programme.
- s. The entrance test shall be conducted by the Controller of Examinations of the University.
- t. There shall be entrance test having two papers I & II of 100 marks each consisting of 50 + 50 multiple-choice questions (MCQ) of two marks each. The duration of the entrance test shall be 120minutes. The syllabi of entrance test for admission to Ph.D. program would be as per UGC guidelines i.e. 50% from respective Research Methodology followed in the subject and 50% subject specific. There shall be no negative marks for incorrect response. No marks will be given for unanswered questions.
- u. UGC/CSIR-NET JRF (*with validity period*)/UGC/CSIR-NET/other similar examination like SLET/

- Teacher Fellowship holder/ DST Inspire fellow (with validity period)/GATE (with validity period)/GPAT will have to appear in entrance test. However, weightage will be given to such candidate.
- v. Weightage of 05 marks will be given to the candidate having M.Phil. (in Regular Mode through entrance test) degree passed from NIILM, Kaithal.
 - w. Weightage of 10 Marks for NET qualified Student and Weightage of 15 Marks for NET+JRF candidate will be given. However, maximum weightage will be of 20 Marks.
 - x. The qualifying marks for admission in Ph.D. program would be 50% (45% percent for SC/ST/OBC (Non-Creamy layer)/differently able candidates having more than 40% disability) in entrance test conducted by the University itself. The qualifying marks of 50% will be counted as overall marks in two papers. However, in each paper separately, student has to score minimum 40% marks.
 - y. The merit list for admission to Ph.D. programme shall be prepared by Exam branch according to following criteria:

Entrance Exam (Total Marks)	Paper I (Marks)	Paper II (Marks)	Weightage (20Marks)			Merit Score
			M. Phil Degree (In Regular Mode & through Entrance Test) From NIILM	NET	NET+JRF	
200	100	100	05 Marks	10 Marks	15 Marks	(200+20)

- z. Result of Entrance Test shall be notified by the Controller of Examinations on the Website of NIILM University.
- aa. There will be no provision for re-evaluation of answer sheets for the paper of Ph.D. Entrance Test.
- bb. Admission under Exempted category: UGC/CSIR-NET JRF (with validity period)/UGC/CSIR-NET/Teacher Fellowship holder/ DST Inspire fellow (with validity period)/GATE (with validity period)/GPAT is eligible to submit the Application Form duly filled in all respects for admission in Ph.D. course under Exempted Category, if otherwise eligible.

H. Registration:

- I. The candidate who falls in merit list for admission to Ph.D. course in the concerned Department shall be permitted to deposit his/her enrolment fee as prescribed by the University.
- II. The candidate shall be considered enrolled provisionally for Ph.D. course from the date of deposit of the enrolment fee. The date of provisional enrolment will be considered as the date of provisional Registration of a Research Scholar in the concerned Department. However, provisional enrolment/registration to Ph.D. course of a Research Scholar may be cancelled at any stage, if he/she is found ineligible for admission to Ph.D. course.
- III. The date of registration to Ph.D. course shall be the date on which the case is recommended by the Research Advisory Committee (RAC) provided that the Annual fee for Ph.D. course is deposited within one month from the date of meeting of the RAC.

I. Research Advisory Committee (RAC)

- a. The Research Advisory Committee shall consist of senior most teachers having Ph.D. degree. If the required number of teacher(s) is not available in the Department, the Dean of the Department may recommend the name(s) of eligible teacher(s) from the allied/related subject(s) from within the University Teaching Department(s) as member(s) of Research Advisory Committee for approval of the Vice-Chancellor.
- b. List of RAC members shall be notify time to time by University after approval of Vice-Chancellor.
- c. RAC will frame the syllabi for Entrance Test. The Syllabi shall be based on compulsory (main) subject as for Master Degree.
- d. The RAC will periodically review and assist in the progress of the research work of the Research Scholar.
- e. RAC will review the research proposal and finalize the topic of research.
- f. The RAC shall invite Research Supervisor of the Research Scholars to its meetings where the matter of his/her Research Scholar is involved.
- g. RAC shall propose their suggestion for approval of Vice-Chancellor on various issue related with Ph.D. degree.

J. Duration of Programme

- a. Ph.D. program shall be for a minimum duration of three years, including course work and a maximum of six years, the period shall be counted from the date of issue of provisional enrollment letter.
- b. Every Research Scholar shall submit his/her Thesis within a period of 6 (Six) years from the date of his/her enrolment for Ph.D. Course, but not before 3 (Three) years including coursework. If a Research Scholar fails to submit his/her Thesis within a period of 6 (Six) years, his/her registration will stand automatically cancelled.
- c. The period of 6 (Six) years for submission of Ph.D. Thesis by a Research Scholar may be extended, in exceptional cases, for a

Maximum of One year by the Vice-Chancellor on the Recommendations of the RAC with an extra fee as prescribed and notified by the University. Thereafter, no extension of period for submission of Ph.D. Thesis in any case shall be allowed.
- d. The women candidates and Persons with Disability (more than 40%disability) may be allowed a relaxation of two years for Ph.D. Course in the maximum duration of 6 (Six) years by the Vice-Chancellor on the recommendations of the RAC. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for up to 240 days which shall not be counted in the stipulated period of 6 (Six) years for submission of Ph.D. thesis, subject to production of maternity certificate from a registered Nursing Home/Govt.

K. Course Work

After Enrollment in Ph.D. course, Research Scholars (except M.Phil. students exempted shall have to undertake specified Ph.D. coursework which will be for a minimum period of one semester (6 months) and will be commenced as per schedule notified by the University.

There will be *three* papers having 4credits (100 Marks) each as under:

NAME OF PAPER	CREDITS	MARKS/ GRADE
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Research Methodology	4	100
Subject Elective	4	100
Computer Applications in Research	2	50
Seminar Presentation	2	50

External Assessment: Written Question Paper-70/39 marks, Internal Assessment: 30/16 marks

- a.* The Ph.D. coursework is a one semester course. 75% attendance for the Ph.D. coursework will be compulsory during the whole semester. The coursework can be offered in blended mode (offline/online). However coursework exams will be in offline mode. Candidates who will not be able to attend the regular classes will have to attend the Capsule Course, as special provision.
- b.* After completion of the course work duration the candidates has to appear in the Course work examination which will be held in the month of May (may be extend by university). The Candidate will be allowed to appear in course work examination, if he/she meets the following requirements:
- (i) Has attended not less than 75% of lectures delivered in theory as well as practical.
 - (ii) Relaxation in shortage of lectures up to 20% will be allowed by the Vice-Chancellor on the recommendation of Dean (R & D Cell) on the following grounds:
 - Self-illness (Medical should be submitted);
 - Illness/death of parents, brother, sister or any other in blood relation.
- c.* In case, a Research Scholar fails in the Ph.D. coursework, he/she shall be given only one more chance to appear in the re-examination of Ph.D. coursework which shall be held after a gap of 3 months from the date of declaration of the result of Ph.D. course work. In case, if a Research Scholar fails to qualify again in the re-examination, he/she shall appear in Course Work exam with students of next batch with exam fee as notify by exam branch.
- d.* Candidate must complete the course work within one year from the Date of provisional registration.
- e.* Candidates already holding M.Phil. Degree and admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D. in an integrated course, may be exempted by the Department from the Ph.D. course work. All other candidates admitted to the Ph.D. programme shall be required to complete the Ph.D. coursework prescribed by the Department.
- f.* The candidate who are working in Government job/ Regular Basis, they have to submit. No Objection Certificate (NOC) and a permission letter to attend the Course work classes.
- g.* Candidates who have obtained M.Phil. Degrees through Distance Education mode are not exempted from the Ph.D. coursework.

L. Research Degree Committee (RDC) Members

The RDC shall consist of:

Dean Research
NIILM University, Kaithal

- a. Dean Research & Development Cell.
- b. Dean Academics Affairs.
- c. An External Expert in the field.
- d. Supervisor of the Candidate.
- e. Dean/Head of the Department.

The above Committee will scrutinize the application and synopsis of the candidate in regard to suitability of the research topic & capability of the student to carry out the research. The candidate has to submit his/her research proposal to the university and after that a date is given to the candidate for the synopsis presentation. In the RDC meeting the candidate will present the research proposed work under synopsis before the RDC.

On the recommendation of the RDC the candidate will be eligible for registration in Ph.D. Programme. Once the candidate is eligible or registered in the programme he/she has to submit three (Six month progressive reports (**Annexure-I**)) to the supervisor.

M. Research Supervisor/Co-Research Supervisor Eligibility

- a. A teacher appointed through duly constituted Selection Committee and approved by the University possessing a Doctoral Degree may be appointed Research Supervisor for Ph.D. The supervisor may be from the University or from outside institution, following the eligibility criteria as per **UGC Minimum Standards and Procedure for Awards of Ph.D. Degree, Regulations 2016, section 6.1.**
- b. However, Research Co-Supervisor can be allowed in inter- disciplinary areas from any of the National Labs/Institutions of National Importance, a teacher can become Co-supervisor provided he/she fulfils the necessary conditions with the approval of the Research Advisory Committee. The external Research Co-Supervisor should be in regular service and a scholar of eminence.
- c. Prior consent of the Research Co-Supervisor along with No Objection Certificate (NOC) from the respective Head of the Department/Institution shall be submitted by the Research Supervisor of the University to the R&D cell of the NIILM University before registration of the Research Scholar.
- d. A confirmed regular teacher (if he/she possesses a Ph.D. degree) or from the outside institutions may supervise the Research Scholars at a time as under:

Sr. No	Designation	No. of Seats Research Scholars
1	Professor	8
2	Associate Professor	6
3	Assistant Professor	4

N. Allotment of Supervisor

- a. The Supervisor shall be provisionally allotted within a month from the date of enrolment of Research Scholar. RAC which include Dean of Faculty concerned or Dean, Research and Development or Dean, Academic Affairs or their nominee shall finalize the name of Research Supervisor(s) allotted to the applicant and the **area/topic** of research.
- b. The presence of the Dean/Head of Faculty concerned or Dean, Research and Development or Dean, Academic Affairs or their nominee shall be necessary when a pre-registration seminar is conducted.
- c. If a candidate is fail to qualify the course exam, then he/she will not be eligible for next step.

O. Change of Supervisor

The change of Research Supervisor of a Research Scholar shall be allowed before the completion of his/her research work:

a. In case the Supervisor has expired or has left the services of the University.

OR

b. By mutual consent of both the Supervisor and the Research Scholar.

OR

c. In case of extreme hardship where it becomes almost impossible for a candidate to work/continue his/her research work with the Supervisor or in case the Supervisor or the candidate requests for the change of Supervisor on valid/genuine grounds. The candidate/Supervisor will put the matter before the RAC for decision. However, the change in such cases will be allowed only after the approval of the Academic Council.

P. Guidelines of Submission of Thesis

- a. The Research Scholar shall present his/her work at three open seminars after his/her registration. 1st seminar may be held after one-year gap from the date of recommendations of the research topic by the RAC and 2nd seminar may be held after nine months from the 1st seminar. The Research Scholar shall submit a written application to the Dean, R & D for 3rd Seminar (pre-Thesis submission seminar) at least one month before the submission of Ph.D.
- b. **Pre-Submission:** After submission of two progressive reports to the supervisor, if a Research Scholar wants to submit his/her thesis to the university then the he/she shall submit a written application to the Dean, R & D for 3rd Seminar (pre-Thesis submission seminar) at least one month before the submission of Ph.D. for the permission of submission duly permitted by the supervisor.
- c. After that a presentation date will be given to the candidate for the pre-thesis submission presentation.
 - i. Candidate has to present his/her research work before the RAC (Research Advisory Committee).
 - ii. Candidate has to publish one research papers in refereed journal and also make two paper presentations in conferences/seminars before the submission of the thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints. All information should be mentioned in the progressive report of the scholar.
 - iii. A candidate shall be eligible to submit his/her thesis only after submission of three Progress Reports (from the time of submission of research proposal) and Recommendation letter from the RAC to Dean/Coordinator Research & Development.
 - iv. The Research Scholar shall also submit a summary of the Ph.D. Thesis in about 500 words indicating how far the Ph.D. Thesis embodies the result of his/her own research or observations and in what respect his/her investigation appears to advance his/her study of the subject of his/her Ph.D. Thesis to the concerned Dean of the Department.
 - v. The Research Scholar shall be liable to submit his/her Thesis following the rules and regulations of Academic integrity and prevention of Plagiarism and they shall also follow the instructions strictly to be issued from time to time in this regard by the University.
 - vi. The Research Scholar shall append the report of Plagiarism free Thesis in his/her Ph.D. Thesis

before submission of Ph.D. Thesis. All thesis will be assessed and evaluated by the supervisor to ensure the similarity free content.

d. Final Submission:

- i. A candidate shall submit the Ph.D. thesis not earlier than one month and not later than three months from the date of presentation of pre- submission seminar.
- ii. The research scholar shall make a presentation of his/her research work which may open to all the faculty members and research scholar(s). Research Scholar may submit his/her Ph.D. thesis after considering the suggestions given in pre-submission seminar in consultation with his/her Research Supervisor.
- iii. Research Scholar shall submit a hard copy of four progress report (six monthly) recommended by his/her research supervisor to the Director/Head of the Department on annual basis to be placed before the Research Advisory Committee (RAC) for evaluation and further guidance.

Q. Panel of Experts/Examiners (External)

- a. A Panel of the six external examiners along with their designation, contact number and email address shall be made by the Dean/Coordinator R&D for each Ph.D. course and out of which two will be appointed as thesis report examiner.
- b. On receiving application/request along-with an abstract of the thesis from the research scholar duly certified by the Supervisor that his/her research work is complete and ready for submission, the Dean/Coordinator R& D shall send the thesis to two selected examiner for their report.
- c. However, the Dean R&D while approving the panel of examiners may ensure that the proposed examiners are from the area of specialization of the Ph.D. thesis.
- d. The Thesis shall be finally referred to two examiners selected by the Vice-Chancellor from the panel drawn by Dean (R&D) out of which one shall be out of state.

Or

In extreme circumstances, with the permission of the Honorable Vice Chancellor, both copies of the thesis can be sent out of state or interstate.

- e. The examiner(s) will state categorically in their reports whether in his/her opinion:
Thesis should be accepted for the award of Ph.D. Degree;
OR
It should be referred back to Research Scholar for presenting it again in revised form;
OR
It should be rejected.

- f. The examiner(s) shall state reasons for approval or resubmission of the Thesis. If he/ she recommend resubmission, he/she shall specifically indicate what modifications he/she wants from Research Scholar

to effect and incorporate in the Thesis.

- g. The examiner for a Thesis shall indicate in his/her report whether the Thesis is fit for publication in its original or modified form. In the later case, examiner shall make definite suggestions for improvement.
- h. If the examiners recommend the award of Degree, they may also give in their report a set of questions, which they would like to put to the Research Scholar at the time of viva-voce.
- i. If the examiner(s) recommends resubmission with some modifications in the Ph.D. Thesis, the Research Scholar shall be asked to modify the Ph.D. Thesis and resubmit the same only once within 6 months, after having carried out all the modifications with a certificate from the Research Supervisor that all the modifications have been carried out.
- j. A resubmitted Thesis shall be examined by the examiner(s) who evaluated the original Thesis unless any of them is unable or unwilling to do so. In such case substitute(s) shall be appointed from the panel by the Vice- Chancellor. The examiner(s) for the revised Thesis will only see whether the objections raised have been met or not.
- k. If one out of two examiners recommends the Thesis as rejected, the Thesis shall be sent to third examiner for evaluation. The decision of the two examiners out of three shall be considered. A Research Scholar whose Thesis is rejected by two examiners shall not be awarded Ph.D. degree. However, the Research Scholar may apply afresh for admission to Ph.D. course.
- l. The entire process of evaluation of Ph.D. Thesis shall be completed within a period of 6 months from the date of submission of Ph.D. Thesis by the Research Scholar.

R. Remuneration:

Remuneration for synopsis, theses evaluation and viva-voce will paid as per University policy.

S. Cancellation of Registration

The Vice-Chancellor of the University on the recommendation of the Dean/Coordinator R&D may cancel the registration of any candidate. The Dean/Coordinator R&D may transfer the case to the VC in the following conditions:

- a. Non Submission of Progressive Reports on time
- b. Non-Active/Non-Responding.
 - i. A scholar has to submit three progressive (half yearly) reports to the supervisor on time (after every six months). In case of delay in submitting of Progressive Reports by the candidate, the supervisor will send him/her a reminder to submit the report and if the candidate is still not submitting, in that case a fine may be impose on the student and he/she will be eligible for submission, only after the permission of the Dean/ Coordinator R&D.
 - ii. If the candidate is not active/ responding to the supervisor by calls/Messages/Mails from a long time, in that case a final letter will be sent to the candidate to be inactive in the PhD programme by the Dean/Coordinator of the R&D Cell or the case may be sent to the Vice Chancellor.
 - iii. During the course or after completion of the Ph.D. misappropriation or degree if anyone found guilty

of fulfillment of eligibility any other such incident comes to the notice regarding non registration or degree conditions of candidate, the university has right to cancel the registration or degree from primary stage. University is not responsible for any of the delay for completing the formalities, including thesis submission, by the research scholar.

T. Viva-Voce of the Candidates

- a. The viva-voce examination shall be held by a RDC consisting of Supervisor and one of the examiners who has evaluated the thesis, to be nominated by the Vice- Chancellor, within a period of one month of the receipt of reports of evaluation of thesis.
- b. It will be the privilege of only the external examiner conducting the Viva-Voce to ask the questions to the Research Scholar. However, after the completion of formal viva the relevant clarification/ discussion, if any, may be held which will not be part of the Viva- Voce examination.
- c. Members of Viva-Voce Examination
 - i. Dean/Coordinator Research & Development Cell.
 - ii. Dean, Academics Affairs.
 - iii. An External Examiner
 - iv. Supervisor of the Candidate.
 - v. Dean/Head of the Department

U. Award of Degree

- i. If two examiners of The Thesis evaluation have recommended the award of degree and the Viva- Voce examiner is satisfied on the basis of the performance of the Research Scholar in the oral examination, then the thesis may recommend the award of the degree.
- ii. The result of the Ph.D. degree of the candidates has to notify by the Dean R&D.
- iii. The University shall issue a provisional certificate certifying to the effect that the degree has been awarded in accordance with the provisions of Regulations of the U.G.C.

V. Conduct of Research Scholar

- i. After enrolment in Ph.D. course, the Research Scholar and his/her work and conduct shall be under the general disciplinary control of the University.
- ii. The Vice-Chancellor shall have the power to cancel the admission of a Research Scholar at any time, after the issue of a due show-cause notice, on disciplinary or any other grounds which are considered not to be in consonance with the dignity and behavior of a Research Scholar or non-payment of hostel or any other dues or any other reason(s).

W. Legal Jurisdiction

Any legal dispute relating to Ph.D. admission/ registration of a Research Scholar will be subject to Court(s) at Kaithal or Court(s) having jurisdiction in Kaithal.

Collaborations/MoUs

NIILM University encourages faculty members for collaborations with other institutions (National/International) for undertaking research of mutual interest, joint projects, and student and faculty exchange. In all MoUs with other institutions or Industries, adherence to the objective and values of NIILM University must be ensured.

Deposition of Theses in Soft and Print copy: Following the successful completion of the evaluation process and announcements of the award of Ph.D., the student/ scholar shall submit a soft and 6 print copy of Ph.D. theses.